

## RADIATION CURABLE WATERBORNE COMPOSITION

The present invention refers to a waterborne radiation curable composition, such as an emulsion, a dispersion or a solution, comprising at least one amphiphilic dendritic polymer and at least one non-amphiphilic radiation curable oligomer or polymer, such as an unsaturated polyester or polyether and/or an acrylic oligomer or polymer. In a further aspect the present invention refers to the use of said amphiphilic dendritic polymer as dispersing resin for or non-amphiphilic radiation curable oligomers and polymers and to the use of said radiation curable waterborne composition in coatings and inks.

A waterborne coating or resin, such as an oligomer or polymer, is one that is diluted with water before use. Even though the dominant volatile is water, many waterborne coatings contain some solvent. Latex coatings dominate the architectural market, interior as well as exterior, with flat, semi-gloss and gloss coatings. Waterborne systems are also used in industrial maintenance coatings based on water soluble or dispersible resin systems. A further class include waterborne alkyds and polyesters. Although no longer the principal class of resins used in coatings, alkyds are still very important and a wide range of types of alkyds are manufactured.

Radiation curable compositions are well-known technologies and used in for instance printing inks, paints and lacquers for furniture and packaging materials as well as for adhesives. Further application areas include for instance dental materials. Radiation curable compositions are environmentally suitable and pleasing as they do not contain volatile solvents. They exhibit furthermore rapid curing and through hardening when exposed to for instance ultraviolet (UV) light or electron beams (EB). The compositions most often contain one or more oligomers or polymers having an unsaturation, normally as acrylate or maleate. These oligomers and polymers are usually high viscous and are, to obtain applicable viscosities, diluted with various monomers. The monomers are typically vinyl monomers, such as esters of mono, di, tri or polyfunctional alcohols and an acrylic acid and styrenes. The designations acrylic acid is hereinafter understood as any of the four commonly available unsaturated acids, propenoic acid (acrylic acid, vinyl formic acid), 2-methylpropenoic acid (methacrylic acid) and 2-butenoic acid (crotonic acid or  $\beta$ -methyl acrylic acid) in its cis (isocrotonic acid) and trans form (crotonic acid) and acrylic and acrylate as derived from any of said four unsaturated acids.

Unsaturated polyesters are curing and/or drying high molecular compounds used in for instance composites, paints, lacquers and similar binders. Unsaturated polyester are substantially based on unsaturated, such as maleic acid/anhydride and/or fumaric acid, and saturated acids, such as phthalic acids/anhydrides, which acids/anhydrides typically are

esterified with aliphatic saturated diols, such as ethylene glycol, propylene glycol and neopentyl glycol. Unsaturated alcohols, for example allyl ethers of glycerol, trimethylolethane, trimethylolpropane and pentaerythritol are also commonly used. Minor amounts of polyfunctional alcohols may also be included. Crosslinking of unsaturated polyesters is performed by addition of peroxides and cobalt salts or by addition of initiators for curing with ultraviolet light (UV), infrared light (IR) or electron beams (EB). The use of unsaturated polyesters normally involves a certain amount of vinyl monomers, like styrene and/or 4-tert.butylstyrene, in applications such as castings, mouldings, gelcoats, UV curable putties and the like.

Protective and decorative paints and lacquers, glues and other drying and curing compositions based on acrylic, methacrylic and/or crotonic oligomers and polymers meet with an increasing importance within a large number of applications. The increasing importance is substantially due to the utility and unique properties of said polymers, such as short curing times, excellent film properties, low or no amounts of solvents. Acrylic compositions for said and other applications often comprise a number of various components, such as one or more polyester acrylates, acrylic modified fumarate esters, urethane acrylates, epoxy acrylates and/or glycidyl acrylates and one or more functional monomers, for example esters of an alcohol and an acrylic acid. Functional monomers work, besides being monomers, also as viscosity reducing diluents for said oligomers and polymers. The properties of an acrylate, such as film forming, curing, drying and the like, are determined by for instance the molecular weight and molecular structure as well as the chemical and physical structure of said acrylate.

The composition and technology of radiation curable systems and acrylic monomers are further disclosed in for instance "*Chemistry & Technology of UV and EB Formulations for Coatings, Inks and Paints*" - Volume 2: "*Prepolymers and Reactive Diluents for UV and EB Curable Formulations*" by N.S. Allen, M.S. Johnson, P.K.T. Oldring and S. Salim, 1991 Selective Industrial Training Associates Ltd. London, U.K.

The use of water instead of monomers to reduce oligomer viscosities offers interesting alternatives. Excellent properties of dispersions cured without crosslinking monomers can be obtained and the use of monomers to obtain specific properties and/or performances is not avoided. The two main techniques for manufacturing waterborne systems are a) external emulsification, wherein a resin is emulsified in water using one or more emulsifiers and b) internal or self emulsifiable systems, wherein a resin is modified by building in groups, such as carboxyl groups which can be neutralised, rendering the resin emulsifiable in water.

Waterborne radiation curable systems imply and exhibit compared to 100% liquid systems, easily sprayed coatings, low shrinkage, improved adhesion and a reduced or zero monomer

content. Vinyl monomers, such as styrenes and acrylic monomers are generally highly reactive and as such potentially hazardous being skin and eye irritants and possible sensitizers, being allergenic, provoking asthenia and having a strong and unpleasant smell, all resulting in a limited or banned use.

Waterborne radiation curable systems based on cellulose, such as cellulose esters, are disclosed in for instance US 5,254,603, EP 0 426 085, DE 24 36 614, US 3,615,792 and WO 01/16239. Radiation curing polyesters are disclosed in for instance EP 0 982 339, EP 0 425 947 and DE 33 40 489. EP 0 982 339 teaches a waterborne radiation curable polyester composition obtained by mixing or pre-condensing a water dilutable radiation curable emulsifying resin having unreacted acid groups giving an acid number of 20-300 mg KOH/g, such as acrylated pentaerythritol ethoxylate, and a water undilutable radiation curable polymer having ester and/or ether groups, such as trimethylolpropane ethoxylate reacted with acrylic acid and a carboxylic acid other than acrylic acid. EP 0 425 947 discloses a water dilutable binder comprising at least one polymerisable unsaturation, said binder is obtained by condensing at least one polyoxyalkylene glycol, an alkoxyLATED triol, an alkoxyLATED 3-6 functional alcohol, a polycarboxylic acid and an unsaturated monocarboxylic acid. DE 33 40 586 discloses a water emulsifiable radiation curable polyester prepared by condensing an anhydride of a dicarboxylic acid, a polyether diol, an alkoxyLATED triol and acrylic acid. A further species of waterborne radiation curable resins is disclosed in EP 0 574 775 wherein an emulsifiable and polymerisable binder is obtained by reacting a (meth)acrylic prepolymer and a water emulsifiable unsaturated polyester with a polyfunctional isocyanate.

Waterborne radiation curable systems are, furthermore, discussed by C. Decker et al in "*UV Radiation Curing of Waterborne Coatings*", Advances in Coatings Technology, ACT '02, International Conference, Katowice, Poland, Nov. 5-8, 2002, pages 11/1 and 11/3-11/10, by W.D. Davis et al in "*Development and Application of Waterborne Radiation Curable Coatings*", Waterborne Coatings and Adhesives, Special Publication (ISSN 0260-6291), Royal Society of Chemistry 1995, pages 81-94 and by Frank J. Kosnik et al in "*Approaches to Water-Based Radiation Curable Coatings*", Proceedings of the Water-Borne and Higher-Solids Coatings Symposium, New Orleans, USA, Feb. 1-3, 1989, pages 204-11.

The radiation curable composition of the present invention is a new category of waterborne radiation curable systems since it uses a dendritic structure to form the surface active compound. The dendritic structure quite unexpectedly acts as an emulsifier having the advantage of optionally being curable, such as photocurable. The radiation curable composition of the present invention can, contrary to externally emulsified waterborne systems using surfactants, provide fully crosslinkable systems. Furthermore, the radiation curable

composition of the present invention reduce or eliminate the use of said monomers and hence said disadvantages.

The present invention disclose a novel waterborne radiation curable composition, such as an emulsion, a dispersion or a solution, comprising an amphiphilic dendritic polymer, which optionally and preferably is radiation curing, as dispersing agent for non-amphiphilic radiation curable oligomers and/or polymers, such as unsaturated polyesters or polyethers, said polyester or polyether acrylates, methacrylates or  $\beta$ -methyl acrylates, said acrylic, methacrylic or  $\beta$ -methyl acrylic modified maleate polyesters, said epoxy acrylates, methacrylates or  $\beta$ -methyl acrylates, said glycidyl acrylates, methacrylates or  $\beta$ -methyl acrylates and said urethane acrylates, methacrylates or  $\beta$ -methyl acrylates, typically used in conventional non-waterborne systems.

The waterborne composition of the present invention comprises at least one amphiphilic dendritic polymer, at least one non-amphiphilic radiation curable oligomer or polymer, water and optionally at least one initiator initiating and/or promoting radiation curing, such as UV, IR or EB curing. Said waterborne composition may optionally comprise at least one additional oligomer, polymer and/or monomer and/or at least one additional component, such as a pigment, a filler, a diluent, such as a reactive diluent, a coalescent agent and/or an additive, such as a neutralising, flow and/or levelling additive.

The amphiphilic dendritic polymer is nonionic and self-emulsifying and is built up from a dendritic core polymer, having terminal hydroxyl groups, being chain extended by a combination of hydrophobic chains comprising a carboxylic acid and hydrophilic polyethylene glycol chains. The amphiphilic dendritic polymer is in the composition of the present invention used as a dispersing resin and stabiliser for emulsification of for instance unsaturated polyesters or polyethers, polyester and polyether acrylates, acrylic modified maleate esters and polyesters, epoxy acrylates, glycidyl acrylates and/or urethane acrylates, typically used in non-waterborne systems. Said unsaturated polyesters, said polyester and polyether acrylates and said acrylic modified maleate esters and polyesters include dendritic species thereof. Acrylate is here and in the following disclosure understood as disclosed above, whereby acrylate and acrylic include acrylate, methacrylate,  $\beta$ -methyl acrylate (crotonate, isocrotonate), acrylic, methacrylic and  $\beta$ -methyl acrylic (crotonic, isocrotonic).

The amphiphilic dendritic polymer is built up from a polyhydric dendritic core polymer having at least 4 terminal hydroxyl groups and thus a hydroxyl functionality ( $f$ ) of at least 4, such as 8, 16, 32 or 64, at least one monocarboxylic acid bonded to at least one and at most  $f-1$  said terminal hydroxyl groups and at least one adduct, obtainable by addition of a monoalkylated

polyethylene glycol to a dicarboxylic acid or a corresponding anhydride, likewise bonded to at least one and at most  $f-1$  said terminal hydroxyl groups.

The dendritic core polymer of said amphiphilic dendritic polymer is in various embodiments a polyhydric dendritic polymer as disclosed in for instance WO 93/17060, WO 93/18079, WO 96/07688, WO 96/12754, WO 99/00439, WO 99/00440, WO 00/56802 and WO 02/40572. Said polyhydric dendritic core polymer is in these embodiments most preferably obtainable by addition of at least one di, tri or polyhydric monocarboxylic acid to a di, tri or polyhydric core molecule at a molar ratio yielding a polyhydric dendritic polymer comprising a core molecule and at least one branching generation bonded to said di, tri or polyhydric core molecule or is obtainable by ring opening addition of at least one oxetane of a di, tri or polyhydric compound to a di, tri or polyhydric core molecule at a molar ratio yielding a polyhydric dendritic polymer comprising a core molecule and at least one branching generation bonded to said di, tri or polyhydric core molecule.

Said di, tri or polyhydric core molecule is most preferably a 1, $\omega$ -diol, a 5-hydroxy-1,3-dioxane, a 5-hydroxyalkyl-1,3-dioxane, a 5-alkyl-5-hydroxyalkyl-1,3-dioxane, a 5,5-di(hydroxyalkyl)-1,3-dioxane, a 2-alkyl-1,3-propanediol, a 2,2-dialkyl-1,3-propanediol, a 2-hydroxy-1,3-propanediol, a 2-hydroxy-2-alkyl-1,3-propanediol, a 2-hydroxyalkyl-2-alkyl-1,3-propanediol, a 2,2-di(hydroxyalkyl)-1,3-propanediol, a dimer, trimer or polymer of a said di, tri or polyhydric alcohol, or a reaction product between at least one alkylene oxide and a said di, tri or polyhydric alcohol or a said dimer, trimer or polymer.

Said di, tri or polyhydric monocarboxylic acid is most preferably 2,2-dimethylpropionic acid,  $\alpha,\alpha$ -bis(hydroxymethyl)butyric acid,  $\alpha,\alpha,\alpha$ -tris(hydroxymethyl)acetic acid,  $\alpha,\alpha$ -bis(hydroxymethyl)valeric acid,  $\alpha,\alpha$ -bis(hydroxymethyl)propionic acid,  $\alpha,\beta$ -dihydroxypropionic acid and/or 3,5-dihydroxybenzoic acid.

Said oxetane is most preferably a 3-alkyl-3-(hydroxyalkyl)oxetane, a 3,3-di(hydroxyalkyl)oxetane, a 3-alkyl-3-(hydroxyalkoxy)oxetane, a 3-alkyl-3-(hydroxyalkoxyalkyl)oxetane or a dimer, trimer or polymer of a 3-alkyl-3-(hydroxyalkyl)oxetane, a 3,3-di(hydroxyalkyl)oxetane, a 3-alkyl-3-(hydroxyalkoxy)oxetane or a 3-alkyl-3-(hydroxyalkoxyalkyl)oxetane.

Said monocarboxylic acid, by reaction added to said polyhydric dendritic core polymer, is in embodiments of the amphiphilic dendritic polymer most preferably an aliphatic linear or branched unsaturated or saturated carboxylic acid having for instance 8-24, such as 8-12, carbon atoms in its main carbon chain, such as lauric acid, tall oil fatty acid, soybean fatty

acid, safflower fatty acid, sunflower fatty acid, cottonseed fatty acid, castor fatty acid, oleic acid, linoleic acid, linolenic acid, stearic and/or isostearic.

Further embodiments of said monocarboxylic acid, providing for instance radiation curable sites, are found among unsaturated acids such as vinyl and/or allyl functional carboxylic acids. Suitable vinyl and/or allyl functional carboxylic acids can be exemplified by acrylic acid, methacrylic,  $\beta$ -methyl acrylic acid (crotonic/isocrotonic acid) and allyloxycarboxylic acids. Said vinyl and/or allyl functional carboxylic acids are preferably used in combinations with one or more of the previously disclosed monocarboxylic acids having said 8-24 carbon atoms.

Said adduct, by reaction added to said polyhydric dendritic polymer, is preferably and advantageously built up from at least one monoalkylated polyethylene glycol having a molecular weight of at least 500, such as 500-2500 or 700-1500, and at least one linear or branched aliphatic, cycloaliphatic or aromatic saturated or unsaturated dicarboxylic acid or a corresponding anhydride, such as fumaric acid, maleic anhydride, succinic anhydride and/or glutaric acid. Said monoalkylated polyethylene glycol is most preferably a monomethylated polyethylene glycol.

The preferred weight ratio amphiphilic dendritic polymer to non-amphiphilic radiation curable oligomer or polymer, in the waterborne composition of the present invention, is between 1:99 and 99:1, such as 50:50, 10:90, 20:80, 70:30, 90:10, 80:20 or 70:30. The most preferred weight ratio is typically 20-30% by weight of the amphiphilic dendritic polymer and 70-80% by weight of the non-amphiphilic oligomer or polymer.

Said at least one initiator optionally included in the waterborne composition of the present invention is in embodiments preferably a photoinitiator, initiating and/or promoting UV curing and is preferably admixed in an amount of for instance 0.1-5%, preferably 1-5%, by weight calculated on solid polymers, oligomers, monomers and other included film forming components. Accordingly, the radiation curable waterborne composition of the present invention is in the most preferred embodiments thereof a UV curable composition.

In a further aspect the present invention refers to the use of the amphiphilic dendritic polymer herein disclosed, as water dispersing resin for non-amphiphilic radiation curable oligomers and polymers, such as said unsaturated polyesters or polyethers, said polyester or polyether acrylates, methacrylates or  $\beta$ -methyl acrylates, said acrylic, methacrylic or  $\beta$ -methyl acrylic modified maleate polyesters, said epoxy acrylates, methacrylates or  $\beta$ -methyl acrylates, said glycidyl acrylates, methacrylates or  $\beta$ -methyl acrylates and said urethane acrylates, methacrylates or  $\beta$ -methyl acrylates and as component in waterborne radiation curable coating and ink compositions, such as UV curable printing inks and industrial coatings.

In yet a further aspect, the present invention refers to the use of the waterborne radiation curable composition herein disclosed, in waterborne radiation curable coatings and inks, such as UV curable printing inks and industrial coatings.

Without further elaboration, it is believed that one skilled in the art can, using the preceding description, utilise the present invention to its fullest extent. The following preferred specific embodiments are, therefore, to be construed as merely illustrative and not limitative of the remainder of the disclosure in any way whatsoever. In the following, Examples 1-6 illustrate preparation of components included in the present invention, embodiments of the resin composition of the present invention and evaluations of said embodiments in UV curable coatings. Table 1 presents results from said evaluations.

- Example 1: Synthesis of a polyalkoxylated adduct used in Example 3.
- Example 2: Synthesis of a fatty acid modified dendritic polyester used in Example 3.
- Example 3: Synthesis of an amphiphilic dendritic polymer, according to an embodiment of the invention, built up from fatty acid modified dendritic polyester obtained in Example 2 and the polyalkoxylated adduct obtained in Example 1.
- Example 4: Synthesis of an amphiphilic dendritic polymer containing acrylate groups.
- Example 5: Synthesis of a non-amphiphilic acrylate dendritic polyester.
- Example 6: Preparation of a waterborne UV curable polyester composition, according to an embodiment of the invention, comprising the product obtained in Example 3.
- Example 7: Preparation of a waterborne UV curable urethane composition, according to an embodiment of the invention, comprising the product obtained in Example 3.
- Example 8: Preparation of a waterborne UV curing polyester composition comprising the products obtained in Example 4 and 5
- Example 9: Evaluation of the compositions obtained in Examples 6, 7 and 8 in UV curable coatings.

### **Example 1**

450 g of a monomethylated polyethylene glycol (molecular weight 750 g/mole) and 58.8 g of maleic anhydride were charged in a 1 l reaction flask, equipped with stirrer and nitrogen inlet, and were under nitrogen purge heated to 120°C. The reaction was allowed to continue at said temperature until all maleic anhydride was reacted.

**Example 2**

246 g of Boltorn® H20 (hydroxyfunctional dendritic polyester having a molecular weight of 1750 g/mole and a hydroxyl value of 495 mg KOH/g, Perstorp Specialty Chemicals AB) and 440 g of sunflower fatty acid was charged in a 1 l reaction flask, equipped with a stirrer and a Dean Stark water trap, and heated to 125°C. 0.68 g of benzoic acid, 0.07 g of Fascat® 4100 (esterification catalyst) and xylene was now added and the temperature was increased to 190°C and kept for about 5 hours. The acid number was after said 5 hours 2.5 mg KOH/g and xylene was removed under vacuum. The reaction was stopped by cooling to room temperature. Obtained product had a hydroxyl value of 62 mg KOH/g.

**Example 3**

450 g of the dendritic product obtained in Example 2, 249.9 g of the adduct obtained in Example 1, 59 g of xylene (azeotropic solvent) and 0.7 g of Fascat® 4100 (esterification catalyst, Elf Autochem) were charged in a 2 l reaction flask, equipped with a stirrer, a thermometer, a condenser and a Dean Stark water trap. The reaction mixture was heated to 240°C and the reaction was allowed to continue at said temperature until an acid value of less than 10 mg KOH/g was obtained.

**Example 4**

50 g of Boltorn® H20 (hydroxyfunctional dendritic polyester having a molecular weight of 1750 g/mole and a hydroxyl value of 495 mg KOH/g, Perstorp Specialty Chemicals AB), 11.5 g of acrylic acid, 51 g of sunflower acid, 40 g of toluene, 0.8 g of methane sulphonic acid and 0.05 g of methoxyphenol were charged in a 500 ml reaction flask, equipped with a stirrer and a dean stark water trap and heated to 120°C for reflux. The reaction was allowed to continue for 8 hours and an acid value of 10 mg KOH/g was determined. 70 g of the adduct obtained in Example 1 was now charged to the reaction flask. The reaction was allowed to continue at said temperature until an acid number of less than 10 mg KOH/g was reached. The reaction product was cooled to 60°C and toluene was removed by vacuum.

**Example 5**

100 g of Boltorn® H2003 (fatty acid modified hydroxyfunctional polyester, Perstorp Specialty Chemicals AB), 41 g of acrylic acid, 1.6 g of methane sulphonic acid, 0.08 g of methoxyphenol and 100 g of toluene were charged in a 500 ml reaction flask, equipped with a stirrer and a dean stark water trap and heated to 120°C for reflux. The reaction was allowed to continue for 8 hours and the reaction mixture was then cooled to room temperature. The

reaction mixture was neutralised with an aqueous solution of KOH (4%) and separated. The organic phase was further washed twice with water and toluene was evaporated under vacuum.

#### **Example 6**

20 g of the amphiphilic dendritic polymer obtained in Example 3 and 80 g of a polyester acrylate oligomer (Ebecryl® EB 657, UCB) were charged and mixed in a round bottomed flask. The mixture was neutralised to pH 7.5 using dimethyl ethanolamine and under stirring heated to 70°C. 100 g of water was slowly and continuously added and admixed during 15 minutes. The final emulsion had a solid content of 50% and a viscosity of 80 mPas at 25°C. The emulsion was stable at room temperature for at least 1 month.

#### **Example 7**

20 g of the amphiphilic dendritic polymer obtained in Example 3 and 80 g of a urethane acrylate oligomer (Ebecryl® EB 5129, UCB) were charged and mixed in a round bottomed flask. The mixture was under stirring heated to 70°C and 100 g of water was slowly and continuously added and admixed during 15 minutes. The final emulsion had a solid content of 50% and a viscosity of 220 mPas at 25°C. The emulsion was stable at room temperature for at least 1 month.

#### **Example 8**

10 g of the amphiphilic dendritic polymer obtained in Example 4 and 90g of the dendritic acrylate polymer obtained in Example 5 were charged and mixed in a round bottom flask. The mixture was neutralised to pH 7 using dimethyl ethanol amine and heated 70°C under stirring. 100 g of warm water was slowly and continuously added during 15 minutes. The final emulsion has a solid content of 50% and a viscosity of 150 mPas at 25°C.

#### **Example 9**

3% by weight, calculated on solid polymers and oligomers, of a photoinitiator (Darocure® 1173, Ciba Specialty Chemicals) was added to and admixed into the emulsions obtained in Examples 6, 7 and 8. Films were applied on glass panels (filmthickness: 60 µm wet) and the water was in an oven flashed off at 70°C for 10 minutes. The coatings were cured in air by being passed 5 times under a 80 W/cm UV bulb and at a speed of 20 m/minute and characterised by MEK-rubs (methyl ethyl ketone rubs), pendulum hardness (König pendulum) according to ASTM D4366-95 and Erichsen flexibility according to ASTM E-643. The result is given in Table 1 below.

**Table 1**

	Number of MEK-rubs	Pendulum hardness König secs	Erichsen Flexibility mm
Coating of Example 6	25	46	>8
Coating of Example 7	>200	155	5.2
Coating of Example 8	> 200	135	4.4